

1 **Intensity-Invariant Texture Analysis for Classification of BI-RADS**
2 **Category 3 Breast Masses**

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42 **Abstract**

43 Radiologists likely incorrectly classify benign masses into Breast Imaging Reporting
44 and Data System (BI-RADS) category 3. A computer-aided diagnosis (CAD) system
45 was developed in this study as a second viewer to avoid misclassifying carcinomas.
46 69 biopsy-proven BI-RADS category 3 cases including 21 malignant and 48 benign
47 masses were used to evaluate the CAD system. To improve the texture features, the
48 gray-scale variations between images were reduced by transforming pixels into
49 intensity-invariant ranklet coefficients. The textures of the tumor and speckle pixels
50 were extracted from the transformed ranklet images to provide more robust features
51 than conventional CAD systems. In the result, tumor texture and speckle texture with
52 ranklet transformation achieved significantly better areas under the receiver operating
53 characteristic curve (A_z) compared with those without it ($A_z=0.83$ vs. 0.58 and
54 $A_z=0.80$ vs. 0.56 , p -value <0.05). The improved CAD system can be a second reader
55 to confirm the classification of BI-RADS category 3 masses.

56 **Keywords:** Breast cancer; ultrasound; BI-RADS; computer-aided diagnosis; ranklet

57 **Introduction**

58 Breast ultrasound (US) has been used in cancer detection to distinguish between
59 benign and malignant lesions (Stavros et al. 1995; Kelly et al. 2010; Weigel et al.
60 2013). US examination has been shown to detect additional breast cancers compared
61 with conventional mammography (Weigel et al. 2013). The sonographic appearance
62 of lesions is interpreted by radiologists for clinical diagnosis. The American College
63 of Radiology (ACR) developed the Breast Imaging Reporting and Data System
64 (BI-RADS) lexicon (Mendelson et al. 2013) to standardize the sonographic
65 descriptors. The descriptors were then quantified in various computer-aided diagnosis
66 (CAD) systems to automatically evaluate the likelihood of malignant tumors (Drukker
67 et al. 2005; Kim et al. 2005; Moon et al. 2012a; Moon et al. 2012b). The quantitative
68 features extracted from the US B-mode images included the tumor shape, texture, and
69 speckle texture features (Moon et al. 2012a; Moon et al. 2012b). The tumor texture
70 was extracted from the delineated tumor area, whereas the speckle texture correlated
71 with detected speckle pixels inside and surrounding the tumor area. With the
72 assistance of CAD systems, clinicians have demonstrated improved performance in
73 diagnosing different masses (Kashikura et al. 2013). In the study by Kashikura et al.,
74 the performance indices of the area under the receiver operating characteristic (ROC)

75 curve significantly improved for seven observers (Kashikura et al. 2013).

76 The ACR also suggested BI-RADS assessment categories for tumor
77 classification and management strategies when US examination is used. Lesions
78 labeled as BI-RADS category 2 (benign) will not be biopsied, and those labeled as
79 BI-RADS category 4 (suspicious abnormality) will definitely be biopsied. Between 2
80 and 4, BI-RADS category 3 (probably benign) lesions are in a clinical gray zone.
81 Follow up is recommended instead of core needle biopsy due to the low likelihood of
82 malignancy (less than 2%) (Sickles 1994; Berg 2004; Leung and Sickles 2007). This
83 management strategy was supported in the results of previous studies (Graf et al. 2007;
84 Berg et al. 2008; Kim et al. 2012; Gruber et al. 2013). Tumors with circumscribed
85 margins and parallel orientations are considered to likely be benign. However,
86 radiologists should evaluate tumors with more objective suggestions to avoid
87 misclassifying carcinomas into BI-RADS category 3 (Lazarus et al. 2006; Lee et al.
88 2008). Acting as a second reader, the CAD system (Moon et al. 2013a) distinguished
89 more malignant lesions from benign lesions among category 3 cases. However, the
90 performance of the texture features was not as good as that of the shape features in the
91 CAD system. The texture analysis of ultrasound patterns was system-dependent
92 (Chang et al. 2005). CAD systems based on texture analysis may only perform well in
93 one specific ultrasound system. Different US system settings and different US

94 scanners may result in different performances in texture analysis (Tsui et al. 2010).

95 With the development of image processing, previous studies (Masotti et al. 2009;
96 Yang et al. 2013) have extracted invariant texture features from the ranklet
97 transformed region of interest (ROI) for tumor detection and diagnosis. The ranklet
98 transform based on the multi-resolution and orientation-selective analysis was
99 invariant to linear/nonlinear grayscale variations (Masotti et al. 2009). Masotti et al.
100 used the gray-scale invariant texture features to detect breast tumors in mammography
101 for false positive reduction (Masotti et al. 2009). Yang et al. extracted the texture
102 features from US images to classify breast tumors (Yang et al. 2013). However, the
103 texture features were extracted from ROIs which may not accurately contribute tumor
104 information in the methods of Masotti et al. and Yang et al.. The current work
105 proposes extracting intensity-invariant texture features from automatically delineated
106 tumor area to obtain more specific tumor characteristics. Compared to Yang et al., the
107 US database used in the current work is BI-RADS category 3 breast masses.
108 Classifying BI-RADS category 3 cases having less than 2% malignancy assessed by
109 the radiologists is more challenging to CAD systems. The performance result would
110 be closer to the real clinical examination using CAD systems as second readers.

111

112 **Materials and Methods**

113 Fig. 1 shows the flowchart of the proposed CAD system. First, tumor contour
114 segmentation is performed by the CAD system to separate the specific tumor area
115 from the background tissues in the US image. According to the delineated tumor
116 contour, the area enclosed by the tumor contour was defined as the tumor area and the
117 extended area within a distance of 5 pixels to the tumor contour was the area for
118 speckle detection. The ranklet-transformed images were then submitted to the
119 procedure after tumor area segmentation to provide the transformed pixel values of
120 the defined tumor area and speckle area for intensity-invariant texture extraction.
121 Based on the biopsy-proven pathology, the diagnostic performances of the two
122 ranklet-transformed texture sets were calculated using binary logistic regression.

123

124 **Patients and data acquisition**

125 Approval was obtained from the institutional review board of Seoul National
126 University Hospital, and informed consent was waived for this retrospective study.
127 The breast US data were collected using an ATL HDI 3000 scanner (Philips, Bothell,
128 WA) or a Medison Voluson 530 scanner (Kretz Technik, Zipf, Austria) during a
129 2-year period. One hundred consecutive tumors were acquired before needle biopsy.
130 Initially, the cases were assessed as 32 BI-RADS category 3, 56 category 4, and 12
131 category 5 masses. Five radiologists performed blinded retrospective interpretation to

132 assess the BI-RADS category for each tumor. Sixty-nine masses including 21
133 malignant and 48 benign masses were assigned to BI-RADS category 3 by at least one
134 of the five radiologists in the experiment. Twenty-one malignant lesions were
135 classified as histological grade 2 (6 cases) or grade 3 (15 cases) invasive ductal
136 carcinomas (IDC). The size ranged from 1.2-4.7 cm (mean=2.7 cm). The benign
137 lesions were composed of 34 fibroadenomas (FA), 13 fibrocystic changes (FCC), and
138 1 papilloma. The size ranged 1.4-4.3 cm (mean=2.6 cm). The patients' ages ranged
139 from 20-84 years (mean=43 years). Patients with malignant lesions had age range of
140 28-84 years (mean=47.2 years). For benign cases, the patients' ages ranged from
141 20-53 years (mean=39.9 years). The age difference between the benign and malignant
142 groups was statistically significant (p -value=0.001). Fifteen lesions were palpable and
143 54 lesions were nonpalpable. The illustrations in Fig. 2 (a) show the cropped tumors
144 with surrounding fat and the posterior area from the acquired US images. The figures
145 shown in Fig. 2 (a) from left to right are a FA, an IDC acquired by ATL, a FCC, and
146 an IDC obtained by Medison. Whether the image cases were acquired from either
147 ATL or Medison, the brightness and contrast of the acquired US images were various.

148

149 **Tumor segmentation**

150 First, the tumor area was delineated for quantitative feature extraction. To reduce

151 operator dependence, the level-set segmentation method (Moon et al. 2013a) was used
 152 to automatically delineate the tumor contour in the original US images. Using the
 153 grayscale gradient as the criterion in the differential equation, the level-set function
 154 developed the user-defined seeds into a complex shape with changing topology to
 155 obtain the tumor contour. To address the tumors with weak edges, a sigmoid filter
 156 (Suri 2008) was used to enhance the image contrast and to make the tumor boundary
 157 more distinct. A gradient magnitude filter (Deriche 1990) was then applied to generate
 158 a gradient image that showed the magnitude in the horizontal and vertical directions.
 159 Using the level-set function on the gradient image accomplished the segmentation
 160 procedure.

161 The level-set function $\psi(x, t)$, which is a high-dimension function, uses the initial
 162 contour $\gamma(t)$ as the zero level set $\Gamma(x, t) = \{\psi(x, t) = 0\}$ where x is a point in \mathfrak{R}^N . Based
 163 on the partial differential equation, the level-set function $\psi(x, t=0)$ evolved from the
 164 initial contour $\gamma(t=0)$ is defined as

$$165 \qquad \qquad \qquad \psi(x, t = 0) = \pm dis \qquad \qquad \qquad (1)$$

166 The distance between the point x and $\gamma(t=0)$ is defined as dis with a sign to indicate
 167 the position. A positive or negative sign means that the point is outside or inside the
 168 initial contour, respectively. Then, the partial differential equation is defined by the
 169 given values of $\psi(x, t=0)$ and F , which provides the propagation speed from the initial

170 contour to the outer region. The equation is

$$171 \quad \psi + F|\nabla\psi| = 0 \quad (2)$$

172 Fig. 2 (b) shows the tumor contours of Fig. 2(a) automatically delineated by the
173 level-set segmentation.

174

175 **Speckle detection**

176 After acquiring the tumor area via tumor segmentation, the speckle pixels
177 around the tumor area were detected to extract speckle features. The speckle patterns
178 in the B-mode images were analyzed regarding their tissue characteristics for breast
179 tumor classification (Moon et al. 2012a; Moon et al. 2012b). The inherent speckle
180 pattern in US images is generated by microstructures that cause scattering, which are
181 contained in tissues such as the breast parenchyma including ducts and glands.
182 Scattered US pulses result in granular appearances in the interference pattern with
183 small grayscale differences in the B-mode images. For fully developed speckle, the
184 intensity image has an exponential distribution with a mean-to-standard deviation (SD)
185 ratio of 1.0. To extract the speckle pixels, the 0-255 pixel values in the US images
186 were log decompressed to the raw intensity value defined as

$$187 \quad I(x, y) = 10^{B(x,y)/B_0} \quad (3)$$

188 where $B(x,y)$ is the acquired B-mode grayscale value and B_0 is a linearization factor

189 related to the transducer frequency (Berg et al. 2008) that converts $B(x,y)$ to a linear
 190 scale. A moving 5×5 window was then used to detect the speckle pixels with a
 191 mean-to-SD distribution of 0.8-1.2 as the tolerance range in the raw intensity image.
 192 The criterion is defined as following:

$$193 \quad W_{mSD}(x, y) = \frac{W_{mean}(x, y)}{\sqrt{\sum_{i=-a}^a \sum_{j=-a}^a (I(x+i, y+j) - W_{mean}(x, y))^2}} \quad (4)$$

$$194 \quad W_{mean}(x, y) = \frac{\sum_{i=-a}^a \sum_{j=-a}^a I(x+i, y+j)}{(2a+1)^2} \quad (5)$$

195 where $a=2$ was used for the window size. Speckle pixels satisfying $W_{mSD}=0.8-1.2$
 196 within a distance of 5 to the tumor boundary were gathered as the speckle map for
 197 texture analysis in the experiment. Fig. 2 (c) shows the speckle pixels detected around
 198 the tumors in Fig. 2 (a) for speckle texture calculation.

199

200 **Texture features**

201 Texture analysis has been widely used for pattern recognition in digital images
 202 (Gonzalez 2008). In US images, the texture information is based on the echo pattern
 203 presented by the grayscale echogenicity. Previous studies (Moon et al. 2012b; Lo et al.
 204 2014) have suggested extracting texture features inside or surrounding the tumor area
 205 for tissue characterization. The quantitative texture features used in CAD systems can

206 be classified into tumor textures and speckle textures. Both texture feature sets
207 provide useful information in distinguishing between benign and malignant breast
208 lesions. However, the gray-scale variations between US images from different system
209 settings and scanners may affect the performances of texture features. In this study,
210 we propose to extract texture features from the ranklet-transformed US images to
211 obtain intensity-invariant tumor textures and speckle textures. The target areas for
212 texture extraction are the previously defined tumor area and speckle area.

213

214 *Ranklet transform*

215 The ranklet transform was used in grayscale medical images for
216 intensity-invariant texture extraction (Masotti and Campanini 2008; Yang et al. 2013).
217 In US B-mode images, analyzing the grayscale tissue echogenicity in the tumor region
218 and speckle pixels (Moon et al. 2012a; Moon et al. 2012b) has been demonstrated to
219 be useful in breast tumor classification. In clinical use, US images were not always
220 acquired using the same scanner settings and scanner models. Fig. 3 shows the effects
221 of different grayscale distributions on the shape and texture features. Regardless of the
222 case that was selected from ATL (Fig. 3(a)) or Medison (Fig. 3(b)), the segmentation
223 results on the original, contrast enhanced, gamma corrected, and histogram equalized
224 images (Gonzalez 2008) were similar. The SDs of four NRL entropy values were 0.03

225 and 0.02 for the ATL and Medison cases, respectively. However, the texture value of
226 the cluster shade highly depended on the grayscale distribution results in the SD
227 (36.33 and 45.46 for the ATL and Medison cases, respectively).

228 In this study, to reduce the effect of intensity variation, the ranklet transform
229 (Masotti and Campanini 2008) was applied to the US images to achieve
230 intensity-invariant texture features. Calculating the relative rank of the pixel values
231 rather than original grayscale pixel values is the key methodology of the ranklet
232 transform. The use of multi-resolution and orientation-selective transformations
233 achieves the analysis of different scales and angles. Using a resolution value of R , a
234 number of overlapping crops with $R \times R$ are extracted by shifting an $R \times R$ crop window.
235 The resolutions of 2, 4, and 8 for an 8×8 image generate 49, 25, and 1 crops,
236 respectively. For each resolution, the image is separated into two subsets, X and Y ,
237 according to the selected orientations. The divisions are based on the Haar functions
238 used in the wavelet transform (Mallat 1989) and shown in Fig. 4 for the vertical,
239 horizontal, and diagonal orientations. For each resolution and orientation, the number
240 of pixel pairs (P_H, P_L) in each crop is calculated when the relative rank of pixels of P_H
241 in the subset X is higher than that of P_L in the other subset Y . If there are C pixels in a
242 crop, $C/2 \times C/2 = C^2/4$ comparisons are needed. The resulting number, which originally
243 ranges from 0 to $C^2/4$, can be normalized to be between -1 and 1. The derived ranklet

244 transform coefficient R_O is formulated as following:

$$245 \quad R_O = \frac{\sum_{p \in Y_O} \pi(p) - \frac{C}{4}(\frac{C}{2} + 1)}{C^2 / 8} - 1, O = V, H, D \quad (6)$$

246 In the subset Y_O , the pixel ranks $\pi(p)$ are summed. While more pixels in Y_O have
247 higher grayscale values than those in X_O , the R_O is close to 1. Otherwise, it is close to
248 -1. The ranklet coefficient for a crop is close to 0 if there is no global value variation.

249 After performing the ranklet transform, the pixel values are replaced by the ranklet
250 coefficient to express the regularity correlation in the area. Specifically, the ranklet

251 images are texture patterns extracted from different scales and angles. Fig. 5 shows
252 the ranklet images of the cases in Fig. 3. Using a resolution value of 4 as an example,

253 the original US images with different grayscale distributions have very similar ranklet
254 images regardless of the orientation (vertical, horizontal, or diagonal). The SDs of the

255 cluster shades in R_{4D} were 2.78 and 4.59 for the ATL (Fig. 5(a)) and Medison (Fig.
256 5(b)) cases, respectively. In the experiment, five image resolutions (2, 4, 8, 16, and 32)

257 and three orientations (vertical, horizontal, and diagonal) were used. The minimum
258 resolution was 2 to extract least local information. The maximum resolution was 32

259 because not all tumors had sizes more than 32 pixels. Each resolution scale was the
260 double of the prior scale to generate new contrast information. For each tumor case,

261 the texture calculation was performed 15 times in the ranklet images for the different

262 combinations of resolution and orientation and normalized using the grayscale values
263 of 0-255.

264

265 *GLCM texture*

266 The defined tumor and speckle areas are the clusters of similar biological
267 structures. The texture information inside the tumor and speckle areas can be
268 extracted by analyzing the correlations between pixel values. The gray-level
269 co-occurrence matrix (GLCM) (Haralick et al. 1973) is the second-order statistic
270 describing the joint frequencies of pair-wise combinations. Co-occurrence matrices
271 ($P=[p(i,j|d,\theta)]$) are constructed by scanning each pixel and the adjacent pixels. The
272 matrix element $P=[p(i,j|d,\theta)]$ describes the frequencies of two adjacent pixels at a
273 distance of d and a direction θ , one with gray-scale i and the other with gray-scale j . In
274 the experiment, the distance for the occurrence of two pixels at a distance $d=1$ and
275 four offset directions, $\theta=0^\circ, 45^\circ, 90^\circ, 135^\circ$, was used. For rotation invariance, the
276 results from different directions were summed and averaged to be an element in the
277 matrix (Haralick et al. 1973). The direction average and SD of eight GLCM metrics
278 defined below were quantified as the texture features.

279

$$Energy = \sum_i \sum_j p(i, j|d, \theta)^2 \quad (7)$$

$$\text{Entropy} = -\sum_i \sum_j p(i, j|d, \theta) \log(p(i, j|d, \theta)) \quad (8)$$

$$\text{Correlation} = \frac{\sum_i \sum_j (i - \mu_x)(j - \mu_y) p(i, j|d, \theta)}{\sigma_x \sigma_y} \quad (9)$$

$$\text{Local Homogeneity} = \sum_i \sum_j \frac{1}{1 + (i - j)^2} p(i, j|d, \theta) \quad (10)$$

$$\text{Inertia} = \sum_i \sum_j (i - j)^2 p(i, j|d, \theta) \quad (11)$$

$$\text{Cluster Shade} = \sum_i \sum_j (i + j - \mu_x - \mu_y)^3 p(i, j|d, \theta) \quad (12)$$

$$\text{Cluster Prominence} = \sum_i \sum_j (i + j - \mu_x - \mu_y)^4 p(i, j|d, \theta) \quad (13)$$

$$\text{Haralick's Correlation} = \frac{\sum_i \sum_j (i \cdot j) p(i, j|d, \theta) - \mu_x \mu_y}{\sigma_x \sigma_y} \quad (14)$$

280 where μ_x, μ_y, σ_x and σ_y are mean and SD of the marginal distributions of $p(i, j|d, \theta)$.

$$\mu_x = \sum_i i \sum_j p(i, j|d, \theta), \mu_y = \sum_j j \sum_i p(i, j|d, \theta) \quad (15)$$

$$\sigma_x^2 = \sum_i (i - \mu_x)^2 \sum_j p(i, j|d, \theta), \sigma_y^2 = \sum_j (j - \mu_y)^2 \sum_i p(i, j|d, \theta) \quad (16)$$

281 Fig. 2 (b) and (c) show the tumor and speckle areas from which the GLCM texture
 282 were extracted, respectively. The tumor texture and speckle texture were GLCM
 283 features extracted from five scales and three orientations of the tumor and speckle
 284 areas after ranklet transformation, respectively. Both feature sets had 240 texture
 285 features. To evaluate the effectiveness of ranklet transform, the performances of the
 286 two feature sets were compared to those from the original US images in the
 287 experiment.

288

289 **Statistical analysis**

290 The quantitative features were evaluated if they were significant in
291 distinguishing between benign and malignant lesions. Kolmogorov-Smirnov test
292 (Field 2009) was used to determine whether the value distribution of a feature is
293 normal distribution or not. Features with normal distribution were evaluated using
294 Student's t-test (Field 2009). Otherwise, the Mann-Whitney U test (Field 2009) was
295 used. The resulting p -values less than 0.05 were interpreted as statistically significant.
296 Significant features of tumor texture and speckle texture were combined to be feature
297 sets, respectively. In the classifier of binary logistic regression (Hosmer 2000),
298 stepwise backward elimination evaluated the features in a feature set. While the least
299 error rate was obtained, the corresponding features were selected to be the most
300 relevant. After feature selection, leave-one-out cross-validation was used to evaluate
301 the generalization ability. Each time, one individual case was separated from the total
302 cases and was used to test the result trained by the remaining cases.

303 After classification, five performance indices including accuracy, sensitivity,
304 specificity, positive predictive value (PPV), and negative predictive value (NPV) were
305 calculated according to the biopsy-proven pathology. The performance differences
306 between two feature sets were evaluated using chi-square test. The trade-offs between
307 sensitivity and specificity were analyzed using ROC curve. Az, area under the ROC

308 curve, was compared using the z-test in ROCKIT software (C. Metz, University of
309 Chicago, Chicago, IL, USA). Other test methods were performed using SPSS
310 software (version 16 for Windows; SPSS, Chicago, IL, USA).

311

312 **Results**

313 Using the Kolmogorov-Smirnov test, 186 tumor textures and 181 speckle
314 textures were normally distributed. The number of significant features for the tumor
315 texture and speckle texture were 46 and 35, respectively. Ten features of tumor texture
316 with ranklet transformation were selected in the classifier. They were Cluster
317 Prominence ave. (R16_D), Energy std. (R32_H), Entropy ave. (R32_H), Correlation std.
318 (R32_H), Inverse Difference Moment ave. (R32_H), Inverse Difference Moment std.
319 (R32_H), Cluster Prominence ave. (R32_H), Cluster Prominence std. (R32_H), Haralick
320 Correlation ave. (R32_H), and Haralick Correlation std. (R32_H). The 11 selected
321 speckle features with ranklet transform were Cluster Prominence std. (R16_H), Haralick
322 Correlation std. (R16_H), Energy ave. (R32_H), Entropy ave. (R32_H), Correlation ave.
323 (R32_H), Correlation std. (R32_H), Inverse Difference Moment ave. (R32_H), Inertia std.
324 (R32_H), Cluster Shade std. (R32_H), Cluster Prominence std. (R32_H), and Haralick
325 Correlation ave. (R32_H). Most selected features were from R32_H, the resolution of 32
326 with the horizontal orientation. The common features were Entropy ave. (R32_H),

327 Correlation std. (R32_H), Cluster Prominence std. (R32_H), and Haralick Correlation ave.
328 (R32_H).

329 The performances of the selected texture features with ranklet transformation
330 were compared to those of the original texture features in Table 1. Regardless of the
331 location the texture features were extracted from (tumor area or speckle pixels), the
332 original intensity-based textures performed poorly in diagnosis. Only sixty percent of
333 the cases were correctly classified by the texture features. In contrast, the texture
334 features extracted from images after ranklet transformation performed better than
335 those from the original B-mode images. For the tumor texture, the features with
336 ranklet transformation achieved an accuracy of 80% (55/69) and a sensitivity of 76%
337 (16/21), which are significantly better than an accuracy of 58% (40/69) and a
338 sensitivity of 38% (8/21) for the original texture features. **The specificities were not**
339 **significantly different.** The accuracy and sensitivity of the speckle texture with
340 ranklet transformation are also significantly better than the original speckle texture
341 (83% (57/69) vs. 62% (43/69) and 71% (15/21) vs. 33% (7/21), respectively).
342 Similarly, the differences **in** specificity and NPV were not significant. With respect to
343 the trade-offs between sensitivity and specificity, the Az differences between the
344 texture sets with and without ranklet transformation were significant (p -value=0.0009
345 and 0.02, respectively). The corresponding ROC curves are shown in Fig. 6.

346 **Assessment of combined performance showed that the use of two feature sets**
347 **together resulted in essentially equal performance.**

348

349 **Discussion**

350 Various CAD systems have been developed to distinguish between malignant
351 and benign tumors using US images (Drukker et al. 2005; Kim et al. 2005; Moon et al.
352 2013b). Acting as a second viewer, a CAD system that can confirm the classification
353 of malignant tumors initially classified as BI-RADS category 3 by radiologists is
354 important. To provide more objective suggestions, a CAD system reviewed the
355 quantitative characteristics of BI-RADS category 3 masses to avoid misclassifying
356 carcinomas in a previous study (Moon et al. 2013a). The elliptical-shaped features
357 performed well in the CAD system to confirm malignant tumors. However, the
358 performance of the texture features was not as good as that of the shape features. One
359 possible reason for this result is that the texture features are easily affected by the
360 grayscale distribution.

361 In this study, we proposed using ranklet transformation (Masotti and Campanini
362 2008) to extract robust texture features independent of the intensity variation. Using
363 ranklet transformations with multiple resolutions and orientations, the original
364 B-mode US images were converted to ranklet images that represented the regularity

365 correlation in the area. As shown in Fig. 3 and 5, the SDs of the cluster shades in the
366 four different US images were reduced from 36.33 and 45.46 to 2.78 and 4.59 for the
367 ATL and Medison cases, respectively. This result indicates that extracting texture
368 features from ranklet images is intensity-invariant. Based on the effectiveness of this
369 technique, the performances of tumor textures and speckle textures extracted from
370 ranklet images were analyzed to confirm the classification of malignant tumors
371 initially classified as BI-RADS category 3. The diagnostic result showed that texture
372 features with ranklet transformation are significantly better than those of features
373 without it. The Az values improved from 0.56-0.58 to 0.80-0.83. The texture features
374 extracted from the ranklet images were demonstrated to be intensity-invariant and to
375 provide diagnostic information in classifying tumors examined using different US
376 equipment (ATL and Medison). Compared with other existing CAD systems (Kim et
377 al. 2005; Moon et al. 2012b), our database composed of different scanner settings and
378 models is more reliable. Additionally, the effectiveness of extracting
379 intensity-invariant speckle texture after ranklet transform indicates that the method
380 can be applied to discrete pixels. Detecting and analyzing speckle features for tumor
381 diagnosis can be performed in an ROI (Moon et al. 2012a; Moon et al. 2012b) which
382 is simpler than tumor segmentation. More time can be saved by using speckle texture
383 than tumor texture.

384 The success is in agreement with a previous study using ranklet transformation
385 in breast tumor classification (Yang et al. 2013). The Az of the tumor texture was
386 0.90-0.94, which is higher than the value of 0.83 obtained in this study. Nevertheless,
387 the BI-RADS category 3 breast masses used in this study had less than 2%
388 malignancy as assessed by radiologists and were more challenging to assess using
389 CAD systems. Additionally, the Az improvement from 0.58 to 0.83 in this study is
390 higher than that from 0.81 to 0.90 in the literature. The superior Az improvement
391 achieved here demonstrates that the ranklet transformation is a promising tool in
392 developing superior CAD systems.

393 According to the selected features in the classifier, most selected features were
394 from R32_H, the resolution of 32 with the horizontal orientation. The result indicates
395 that these features had more relevant diagnostic information than others. A possible
396 reason is that the contrast difference in large-scale resolution is clearer than
397 small-scale in the ranklet transform and provides more contrast information for
398 texture analysis. **This may also be explained by the typical shape of breast tumors,**
399 **which tend to be ellipsoid with their longest axis horizontal.**

400 A limitation of this study is that the number of specimens in the experiment is
401 only 69. More BI-RADS category 3 breast masses collected from different scanner
402 models using different settings should be included in future studies to evaluate the

403 ability to generalize the proposed CAD system. **Another limitation is the significant**
404 **difference in the ages between the benign and malignant tumors. Age can be a**
405 **feature to estimate the likelihood of tumors being carcinomas. To investigate the**
406 **usefulness of the proposed texture features, the comparisons between benign and**
407 **malignant cases should be performed for similar age cases to reduce the effect of**
408 **age. Nevertheless, the proposed texture features also describe the composition of**
409 **echo patterns in tumors such as homogeneity and heterogeneity which may not**
410 **completely correlate with age. Collecting the cases with similar ages to explore**
411 **the correlation between textures and ages would be an interesting future study.**
412 **However, acquiring hundreds of benign and malignant cases with same ages**
413 **would need many years.**

414 To improve the CAD performance, a novel method of feature selection may be
415 helpful. The ranklet transform used multiple resolutions and orientations to generate
416 hundreds of texture features. Determining the most relevant subset of these features is
417 an interesting topic. Using the least features to achieve the best performance would
418 improve the efficiency. Combining relevant features with complementary diagnostic
419 information is the key aspect of this methodology.

420

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427

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523 **Figure Captions**

524 Fig. 1. The flowchart of the proposed CAD system

525 Fig. 2. Examples of acquired US images and the corresponding contours and speckle
526 pixels. (a) A fibroadenoma, an invasive ductal carcinoma from the ATL
527 scanner, a fibrocystic change, and an invasive ductal carcinoma from the
528 Medison scanner (b) The corresponding segmentation results (c) The
529 corresponding detected speckle pixels.

530 Fig. 3. Quantification results of the US images obtained from the ATL and Medison
531 scanners. (a) The segmentation results and feature values of different grayscale
532 distributions of an US image from ATL. (b) The segmentation results and
533 feature values of different grayscale distributions of a US image from
534 Medison.

535 Fig. 4. An image is separated into two subsets according to the vertical, horizontal,
536 and diagonal orientations for ranklet transformation.

537 Fig. 5. Ranklet images and feature values of the US images obtained from the ATL
538 and Medison scanners. (a) The ranklet images (resolution=4) with three
539 orientations and feature values in different grayscale distributions of a US
540 image from ATL. (b) The ranklet images (resolution=4) with three orientations
541 and feature values in different grayscale distributions of a US image from

542 Medison.

543 Fig. 6. The receiver operating characteristic (ROC) curves of the original tumor
544 texture, tumor texture with ranklet transformation, original speckle texture,
545 and speckle texture with ranklet transformation.

546 Table 1. The performance differences of tumor texture and speckle texture with and

547 without ranklet transformation using the chi-square test.

	Tumor Texture	Tumor Texture (Ranklet)	Tumor Texture vs. Tumor Texture (Ranklet)	Speckle Texture	Speckle Texture (Ranklet)	Speckle Texture vs. Speckle Texture (Ranklet)
Accuracy	58% (40/69)	80% (55/69)	0.0058*	62% (43/69)	83% (57/69)	0.0076*
Sensitivity	38% (8/21)	76% (16/21)	0.0126*	33% (7/21)	71% (15/21)	0.0134*
Specificity	67% (32/48)	81% (39/48)	0.1035	75% (36/48)	88% (42/48)	0.1167
PPV	33% (8/24)	64% (16/25)	0.0318*	37% (7/19)	71% (15/21)	0.0281*
NPV	71% (32/45)	89% (39/44)	0.0396*	72% (36/50)	88% (42/48)	0.0570
Az	0.58	0.83	0.0009*	0.56	0.80	0.02*

548 * p -value<0.05 indicates a statistically significant difference.

549