行政院國家科學委員會補助專題研究計畫

■ 成 果 報 告□期中進度報告

手勢之補足性質與認知處理 (3/3)

					 				004	_		
					月 01						日	
計畫	主持人	:徐	嘉慧									
	主持人 參與人											
成果:	報告類	頁型(在	衣經費	核定	清單規	定繳る	文):	□精	簡報台	占	完整報	长告
本成	果報告	包括	以下	應繳る	で之附付	牛:						
□赴	國外出	差或	研習	心得幸	设告一位	分						
□赴.	大陸地	2區出	差或	研習べ	公得報台	告一份						
□出,	席國際	《學術	會議	心得幸	设告及领	簽表之	論文	各一	份			
	際合作	研究	計畫	國外石	开究報台	告書一	份					
處理	方式:	除產	學合	作研究	究計畫	、提升	產業	技術	及人	才培育	研究言	十畫、
		列管	計畫	及下列	刘情形 者	者外,	得立	即公	開查言	旬		
					其他智慧						可公開	查詢
執行	單位:	國立	政治	大學	英國語	文學	系					
中	華	民	國	97	年	9	月	5	日			

可供推廣之研發成果資料表

□ 可申請專利	□ 可技術移轉		日期:	:	年	月_	_日
	計畫名稱:						
國科會補助計畫	計畫主持人:						
	計畫編號:		學門領域:	:			
技術/創作名稱							
發明人/創作人							
	中文:						
		(100~500字)					
技術説明	** - •						
	英文:						
可利用之產業							
及							
可開發之產品							
技術特點							
4X 141 741 741							
推廣及運用的價值							
1							

- ※ 1. 每項研發成果請填寫一式二份,一份隨成果報告送繳本會,一份送 貴單位 研發成果推廣單位(如技術移轉中心)。
- ※ 2. 本項研發成果若尚未申請專利,請勿揭露可申請專利之主要內容。
- ※ 3. 本表若不敷使用,請自行影印使用。

Complementary Gestures and Information Types*

Kawai Chui National Chengchi University

This paper investigates what kinds of information can be conveyed by complementary gestures that do not have a direct syntactic and semantic relation with particular linguistic constituents, what discourse functions the gestures have in communication, and how the relationship between gesturing and speaking can help in understanding the organization of information in Chinese daily conversation. The findings show that complementary gestures can convey attitudinal, scriptevoked, and topical information. With regard to what all the participants are talking about, these various types of information are not the immediate concern of the participants at the moment of utterance; nonetheless, the complementary gestures provide additional meanings to enrich speech events or maintain the continuity of a topic under discussion.

Key words: complementary gestures, information types, focus of interest, Chinese discourse

1. Introduction

Hand gestures spontaneously produced in face-to-face interaction can be associated with linguistic constituents. These manual movements play an important role in communication as they work in co-ordination with speech to express meaning. This study examines hand gestures in Chinese daily conversation, which can be illustrated in Example (1). The subject for talk in Example (1) is the way in which Speaker A's part-time job at the library was so hard: the speaker had to send a document to a number of different places, from the library to the Administration Building, then back to the library, and then to the Social Sciences Information Center. The manual forms include iconic gestures for the verbs. First, at the moment of uttering the verb *na* 'take' (IU2, see Appendix B for the definition of Intonation Unit), both A's hands rise from the thighs, and the arms cross at belly level as if to hold a document. Another iconic gesture

^{*} This research was funded by grants from the National Science Council (NSC 94-2411-H-004-031; NSC 95-2411-H-004-008; NSC 96-2411-H-004-004). I would also like to thank the two anonymous referees for offering valuable comments and suggestions. All errors of interpretation are my own responsibility.

is produced for the verb *song* 'send' at IU3: both hands move to the upper right periphery, conveying the idea of sending a document to the Administration Building. They move back a little for the second mention of the same verb at IU5, depicting the action of sending the document back to the library.

```
(1) 1 A: ... Ranhou wo
                               jiu%,_
               then
                         1SG thus
    2
             ...(.7) na
                        yi
                              ge gongwen,
                   take one CL document
        na 'to take': both hands rise from thighs, and arms cross at belly level
    3
             ...(.6) song dao%,\
                   send to
        song 'to send': both hands move to upper right periphery
     4
             ...(.7) xingzhengdalou,
                   Administration Building
     5
             \dots(.6) Song huilai,\
                          back
                   send
        song 'to send': both hands move back a little
    6
             ... Song qu shezizhongxin,
                     to Social Sciences Information Center
```

A: 'Then I took a document, and sent (it) to the Administration Building. (After that I) sent (it) back (to the library). (Then I) sent (it) to the Social Sciences Information Center.'

The gestures being discussed in Example (1) all rest upon their respective lexical items for interpretation. However, some manual forms are not affiliated with speech. In the following Example (2), Speaker A is complaining about her former roommate, who often stayed out overnight and sometimes might come back at six in the morning and knock at the door. The idea of opening the door for the roommate is not realized in speech, but rather expressed by hand movements after uttering the causal clause about starting her part-time work at eight in the morning (IU13): both of A's hands rise from the thighs to waist level and her right hand sweeps weakly to the right periphery, as if to open the door while she was not fully awake. This example illustrates that gesture and speech can be complementary in conveying information. The linguistic information alone does not always provide a complete view of the message that the speaker intends to communicate; "gestures are an integral part of language as much as are words, phrases, and sentences" (McNeill 1992:2).

```
(2) 1
        A: ...(.7) Ta
                         iiu%,\
                   3SG then
    2
            \dots (.8) <A chuqu A>,
                      go out
    3
            ..Ranhou <A jingchang wanshang hen wan huilai A>,\
             then
                          often
                                    at night
                                               very late come back
    4
            ..Buran
                     jiushi%,
             or else that is
    5
            ... zaoshang cai
                              huilai,_
              morning then
                              come back
    6
            ...(.5) Na
                                                 weishenme?\
                        wo
                              ye
                                   bu
                                         zhidao
                  PRT
                       1SG
                             also NEG know
                                                 why
    7
            ...(.5) < P Ranhou wo
                                   jiushi%,\
                              1SG that is
                     then
    8
                          shui
                                 shui P>,\
                    jiu
            ... a
              PRT then sleep sleep
    9
            ..Youshihou zaoshang liu dian zhong,\
             sometimes morning six CL o'clock
    10 B: ...(.5)<PP M PP>,
                      Mm
        A: (0)Ko%
                      ko%
                              ^ko%,\
              knock knock knock
    12
            \dots(.6)<HI aiyo= HI>,/
                      PRT
        ((2 IUs omitted))
    13
            ... Yinwei
                                                   dagong=,_
                        wo
                              ba
                                     dian yao
                              eight
                                     CL
                                           have to do part-time work
```

at the end of the clause/IU: both hands rise from thighs to waist level, and right hand sweeps weakly to right periphery

- A: 'She then went out. Then, (she) often came back very late at night. Or else, (she would) come back in the morning. Well, I didn't know why. Then, I just slept and slept. Sometimes, (she) came back at six in the morning,'
- B: 'Mm.'
- A: '(she) knocked and knocked and knocked (at the door). ((2 IUs omitted)) I had to start (my) part-time work at eight.'

'Complementarity' is a term used by McNeill (1992:13) to characterize the fact that "[s]peech and gesture refer to the same event and are partially overlapping, but the pictures they present are different." McNeill's example is: at the moment the speaker utters 'she chases him out again', "speech conveys the ideas of pursuit and recurrence while gesture conveys the weapon used (an umbrella)" (ibid.). In other words, the form of the speaker's hand in a shape as though to grip something is not coordinated with any

lexical item, and the utterance is grammatically complete without the gesture. This kind of gesture has also been mentioned in other studies. Bavelas et al. (1992, 1995) identified a type of conversational manual forms named 'interactive gestures'. They are used to address to other participants and function "to aid the maintenance of conversation as a social system" (Bavelas et al. 1992:470). Within this category, some gestures have parallel verbal references but some do not. Moreover, 'pragmatic gesturing' in Kendon's (1995:247) study "expresses aspects of utterance structure, including the status of discourse segments with respect to one another, and the character of the 'speech act' or interactional move of the utterance." The gestures at issue refer to the conventional emblems. Finally, Church & Goldin-Meadow (1986) investigated the mismatches between gesture and speech in children's explanations of a concept. The 'discordant' children in their study "produced many explanations in which the information conveyed in speech did not match the information conveyed in gestures" (ibid: 43). Again, some gestures of this kind had linguistic references, whereas the others "conveyed more information than was conveyed in speech" (ibid: 51). Because of different perspectives and research purposes, gestures that lack references in speech have been categorized in various ways together with those having references. They were not singled out for analysis and discussion.

In the present study, spontaneous hand gestures that are not associated semantically with any particular linguistic constituents in their accompanying clauses are called 'complementary gestures'. They are idiosyncratic and spontaneous with a context-dependent meaning and use. The study examines what kinds of information can be conveyed in the gesture modality alone, what discourse functions the complementary gestures have in communication, and how the relationship between complementary gestures and speech can help in understanding the organization of information in Chinese daily conversation.

The next section introduces the database used in this study. Section 3 investigates the types of information and functions carried by complementary gestures. Based on the findings, §4 will discuss the organization of information in communication, followed by a conclusion in §5.

2. The database

The data used here include four casual, unpremeditated, multi-party conversations which took place in 1994 and 1995 among college students who knew each other. The subjects were free to find topics of common interest; they were not told the particular focus of the research. The subjects were filmed for approximately an hour, with a visible camera and in full-body shot. One section from each conversation, about twenty

minutes of talk, in which students were more comfortable in front of the camera, was then extracted. Gestures were analyzed on computer, using MediaStudio Pro, a program package which features frame-by-frame advance and varying slow-motion capabilities with no muting, so that the sound could be heard as the images were advanced. Thus, movement at a given moment in time could be matched with a simultaneously uttered syllable or with silence.

According to McNeill (1992:83), there are mainly three phases of gesticulation: 'preparation', 'stroke', and 'retraction'. The preparation phase (also known as 'onset') refers to "the limbs mov[ing] away from their rest position to a position in gesture space where stroke begins." In the stroke phase, "the meaning of the gesture is expressed" (ibid.). Finally, the retraction phase is the "return of the hand to a rest position" (ibid.). In the examples of the present study, only the obligatory stroke phase is described in words; the linguistic expression that synchronizes with the gestural stroke is underlined and in bold.

In the database, there are other kinds of gesture that also carry information not conveyed in speech. First, some gestures were used to make the meaning of the associated constituents clear. The iconic gesture in Example (3) clarifies what the accompanying pro-adverb *zheme* 'like this' (IU4) means in discussing the size and height of a gas can. Speaker B, at the time she utters the first syllable *zhe* of the pro-adverbial *zheme*, raises her right hand to shoulder level with the palm open and facing downward while her left hand is at waist level with the palm open yet facing upward. The distance between the palms thus represents the height of a gas can.

```
(3) 1
       A: ... Shenme shi
                            wasi^guan?\
             what
                      COP
                            can of gas
                               guan <A zheyangzi% A>,
       C: ... Jiu
                   shi
                         xiao
             EMP COP small can
                                         like this
    3
      A: (0)<P Duo xiao% P>?\
                how small
      B: ...(.9)Zheme gao
                              ba,\
                like this high PRT
```

zheme 'like this': right hand raises to shoulder level with palm open and downward; left hand is at waist level with palm open and upward

A: 'What is a can of gas?'C: '(It) is (a) small can.'A: 'How small?'B: 'About this high.'

Second, gestures can also replace covert arguments. In Example (4), as the participants are talking about how using the same kind of shampoo continuously will make people lose hair, Speaker B asks A if she has the same problem (IU6-7). The question involves an unrealized argument—the 'direct object' of the verb *diao* 'lose' (IU7). Although the referent of the direct object, 'hair', is not verbalized, it can be understood by means of two deictic gestures: A's right index finger points at her own hair on the right side while uttering *you* 'have', and then points at her hair on the left side while producing the first syllable *kai* of the verb *kaishi* 'start'.

```
(4) 1
         B: ... Ruguo ni
                              yizhi
                                            yong
                                                   a,
                if
                                                   PRT
                        2SG continuously use
    2
             ..ranhou.\
              then
     3
             ... kai[shi%],\
                start
     4
         A: ..[m=],/
               Mm
     5
         B: ..toufa jiu
                                 ^diao,\
                           hui
                                 lose
              hair then
                           will
         ((6 IUs omitted))
    6
             ...<F Ni
                        <A xianzai% A>F>,\
                   2SG
                              now
     7
                                                   diao?\
             ..<u>vou</u> mei
                           you kaishi
                                          zai
              have NEG have start
                                          PROG lose
        you 'have': right index finger points at own hair on right periphery
         kaishi 'to start': right index finger points at own hair on left periphery
        A: ..Meiyou
                        a,\
              NEG
                        PRT
         B: 'If you keep using (the same kind of shampoo), then (you would) start...'
         B: 'losing (your) hair. ((6 IUs omitted)) Now, are (you) starting to lose (hair)?'
         A: 'No.'
```

Finally, some gestures not bound to any constituents can function to address somebody, as exemplified by the interaction in (5). The conversational topic is shampoo, and Speaker C is telling what she knows about the green Vidal Sassoon shampoo, so the subject of the first clause (IU1-2) is the first person singular *wo*. To present the information particularly to Speaker A, C points at the addressee with her left index finger at the time she utters the pronominal form *wo* 'I'. Hand movements of this kind were categorized as 'interactive gestures' by Bavelas et al. (1992, 1995).

```
(5) 1 C: ... <u>Wo</u> <A zhidao% A>,\
                1SG
         wo 'I': left index finger points at A
     2
             ..lüse
                     shaxuan
                                                          la,\
                                    shi
                                          ganma
                                                    de
              green Vidal Sassoon COP do:what PRT PRT
     3
             ..Wo
                    tongxue
                               shuo%,_
              1SG
                    classmate
                               say
     4
             .. lüse
                      shaxuan
                                     shi
                                            gei,_
               green
                      Vidal Sassoon EMP
                                            for
     5
                                meitian
             ..<A na
                        zhong
                                            xitou
                                                       de
                                                                     yong A > . \setminus
                   that kind
                                 every day wash:hair DE people use
         A: ..Zhende a=?/
              really
                      OST
        C: ...^Dui,\
               right
         C: 'I know what (the) green Vidal Sassoon (shampoo) is. My classmates said (the)
             green Vidal Sassoon is for the kind of people who wash (their) hair every day.'
         A: 'Really?'
         C: 'Right.'
```

All the various uses of gesture mentioned above were excluded in the present study, since the manual forms are either bound to particular lexical items, be it overt or covert, or the gestured addressing function is not directly related to the content of talk. The complementary gestures to be studied here are not associated with any lexical constituents in their immediate clausal contexts; nor do they substitute for any missing arguments. Utterances are grammatically complete without the complementary gestures. Although the gestural information is not encoded in speech, it is still part of the message.

Since complementary gestures do not parallel meaning that is expressed in words, their context-dependent meanings and uses were checked and confirmed by three analysts.

3. Types of information conveyed by complementary gestures

Despite the fact that the idea expressed by a complementary gesture is not realized linguistically, as in Example (2) in the hand movement of opening the door produced by Speaker A, the interpretation of the gesture is still based on the literal verbalization of the speech event at issue. The conveyed information is explicit in context. Then, what kinds of information would speakers convey only by gesturing? And, what discourse functions do the gestures have in communication? These questions will be discussed in this section.

3.1 Attitudinal information

The following example (6) has to do with shampoo. What Speaker B explains in IU1, 3 and 4 is that she used a particular brand of shampoo because there was a bottle at home. The clause in IU4 does not indicate her attitude toward the event of using the shampoo. It is rather depicted by a complementary gesture: both the speaker's elbows move outward while uttering the verbs *na* 'take' and *yong* 'use', signifying that using that kind of shampoo was 'nothing'.

```
(6) 1
        B: ...Fanzheng%,\
              anyway
    2
            ...w% --
              REPAIR
    3
            ...wo jia
                          jiu
                                 you yi
                                            ping
                                                   a./
              1SG home EMP have one
                                            bottle PRT
    4
            ...<A Wo [jiu A> nalai vong
                                            a].
                  1SG then
                               take
                                     use
                                            PRT
        na 'to take' & yong 'to use': both elbows move outward
    5
        A: [Oh
                  oh=]./
            PRT PRT
        B: ...(.7) Ranhou wo
                                juede%,_
                  then
                           1SG feel
    7
            ..hai bucuo.\
             still NEG:bad
        B: 'Anyway, there was a bottle (of shampoo) at home. So, I used (it).'
        B: 'And then, I thought (it) was not bad.'
```

Example (7) includes another attitudinal gesture that is not tied to any verbal component of the utterance. During a discussion about foreigners dining at a Chinese restaurant, what Speaker A tells is that two Chinese of Mainland origin considered the habits of foreign people strange. The contemptuous attitude of the Chinese, again, is only conveyed manually, as the speaker's left hand sweeps outward once while producing the nominal *waiguoren* 'foreigner' (IU9).

```
A: ...Jiushi ta
                              nage,_
                        zai
          that is 3SG
                       be.at that
2
        ..zhongguocheng
                         ma,
         Chinatown
                          PRT
3
        ...Ranhou jiu
                                         ge%,_
                         you
                                   liang
          then
                   then there.be two
                                         CL
4
        ..waishengren
                                          zhong,_
                                     na
         Chinese of Mainland origin that CL
5
        ..yijing
                  yimin
                            hen
                                  jiu
                                         [le],\
         already emigrate very
                                  long
                                         PRF
6
    B: [m
             m=],
        BC BC
7
    A: ..<A ta
                  shuo% A>,\
             3SG say
8
                                   caiguan
                                                   nabian,\
        ..zai na
                  ge
                        zhongguo
             that CL
                       Chinese
                                   restaurant at
                                                   there
         at
9
                 ^waiguoren
        ..tamen
                               a.\
         3PL
                 foreigner
                               PRT
    waiguoren 'foreigner': left hand sweeps outward
10
```

- 10 ..xiguan jiushi guai.∖ habit EMP be.strange
 - A: 'That is, she was at the Chinatown. Then, there were two Chinese of Mainland origin, the kind that had been emigrated (to the US for) a long time.'
 - B: 'Mm.'
 - A: 'She said (that) at the Chinese restaurant (the Chinese said) the habits of the foreigners were strange.'

The two gestures in these two examples are not emblems because they are not standard forms like 'okay', and their interpretations rest upon the linguistic contexts (see the definition of emblems in Ekman & Friesen 1969 and Goldin-Meadow 1999). The attitudinal information being gestured is comparable to linguistic attitudinal adverbials. Nevertheless, the gestures do not substitute for any covert constituents of the accompanying clauses. Without relating to any overt lexical constituents, complementary gestures of this type function to add to the total meaning of the speech event as a whole.

3.2 Script-evoked information

The second type of information that also embroiders the entire speech event is evoked by a script being mentioned linguistically in the context. Scripts are stored knowledge that consists of sequences to guide people to move from one activity to another (Schank & Abelson 1977). People having knowledge of a script are familiar with the sequence of events involved in a particular situation. In communication, such knowledge can be expressed just by gesturing.

The subject for conversation in the following example (8) has to do with food utensils. What the speakers are discussing are the different kinds of utensils that can be used to serve food at a departmental gathering, including jiazi 'food tongs' (IU2), kuaizi 'chopsticks' (IU6), and tangpiao 'soup spoons' (IU10). The things that people do with these various kinds of tools is the familiar knowledge stored in the script of 'using food utensils', and this knowledge is activated by the concepts being verbally represented by the nominals *jiazi*, *kuaizi*, and *tangpiao*. The script-evoked information is depicted by three complementary gestures produced by Speaker A. The first is produced while uttering the first syllable tang of tangpiao 'soup spoon': the speaker's right hand moves downward from chest level to form a bowl shape as if to dish the corn up with a soup spoon. The second gesture occurs when shengcai 'lettuce' is verbalized (IU12): the speaker, whose right index finger and middle finger have already formed a chopstick gesture at IU11, starts moving the fingers forward and downward to depict picking up vegetables with chopsticks. The last gesture takes place at the moment of uttering the modal keyi 'can' within the same IU12: the speaker moves the chopstick gesture back to depict putting the lettuce onto her own plate. In this example, the participants are talking about soup spoons and chopsticks. What people do with these two kinds of food utensils is script-evoked information being expressed in the gesture modality alone.

```
(8) 1
        B: ...Ni
                     keyi,\
               2SG can
    2
                                     jia[zi
                                                  a].
             ..duo
                    nong ji
                                 zhi
                           few CL
                                     food tongs
              more
                    get
                                                  PRT
    3
        C: [Oi- --
             REPAIR
    4
             ..qu nali]
                         ^nong?\
              go where get
    5
        A: ...Wo juede% --
               1SG think
    6
            ..yong
                    kuaizi,\
              use
                    chopstick
        ((2 IUs omitted))
    7
            ...(1.1)Yinwei
                             ni
                                   kan%,\
                    because 2SG
                                   see
    8
             ...xiang%,\
               like
    9
             ..^yumi
                      na
                           zhong
                                   dongxi,
              corn
                      that kind
                                   thing
```

10 ...jiu yong <u>tangpiao,</u> then use soup spoon

tangpiao 'soup spoon': right hand moves downward from chest level

11 ...(.7)Ranhou%,\ then

ranhou 'then': right index finger and middle finger form chopstick gesture

12 ...<u>shengcai</u> <u>keyi</u> yong kuaizi.\ lettuce can use chopstick

shengcai 'lettuce': chopstick gesture starts moving forward and downward

keyi 'can': chopstick gesture moves back

B: 'You could get more food tongs.'

C: 'Where (can I) get (them)?'

A: 'I think (you can) use chopsticks, ((2 IUs omitted)) because, you see, for the kind of thing like corn, (you can) use soup spoons. Then, (for) lettuce, (you) can use chopsticks.'

In Example (9), Speaker B tells how she could not find her friend's article in the journal of Cheng Kung University. There is a lot of knowledge stored in this 'find-an-article-from-a-school journal' script that is partly verbalized and partly gestured. The utterances have to do with her requesting a cousin to borrow for her a particular issue of the university journal (IU1, 2, and 3), having borrowed the issue (IU4), then realizing that the article that she had thought would be in it was not there (IU5). Before learning that the article was not included in the journal, the first thing the speaker needed to do was to open it to check. The knowledge that guides the speaker to perform the action is included in the script, and evoked by the first two actions being verbalized by the two clauses in IU1, 2 and 3. The evoked information, again, is conveyed by a complementary gesture: at the time the negation word *meiyou* is produced (IU5), both of Speaker B's hands rise up to the front of the chest with closed fingers, appearing to hold the university journal. Then, the hands move side-to-side, as if opening the journal to check.

```
(9) 1
        B: ...Wo baituo
                                               wo
                                                     biaoge,
              1SG request someone to do a favor 1SG cousin
    2
            ..qu bang wo
                            gen%,/
             go help 1SG with
    3
            ...chenggong
                                    de
                                                 ^jie
                                                          ma.\
                                         ren
              Cheng Kung University DE people
                                                borrow
                                                          PRT
    4
            ...Jieguo
                        jiedao
                                             yi
                                                 qi
                                                       a,
              as a result borrow.ACMPL that CL issue PRT
```

```
5 ...(1.)<u>Meiyou</u> ta de zuopin,\
NEG.have 3SG ASSC work
```

meiyou 'do not have': both hands rise up to chest front with closed fingers, then move side-to-side

B: 'I requested my cousin to do me a favor: to borrow (the journal of Cheng Kung University) from a student of Cheng Kung University. As a result, (he) borrowed that (particular) issue, (but) his work was not (included).'

The script-evoked information, just like the attitudinal information, is not incorporated into the associated utterances. Moreover, the complementary gestures mentioned in Examples (8) and (9) do not embroider single utterances, but enrich both of the entire speech events of choosing food utensils and of looking for an article.

3.3 Information that maintains the continuity of topic

The last of the three types of complementary gesture found in the database carries information indicating the continuity of a topic at issue. The gestural information is the topic *per se*, rather than the information related to the topic of discourse as conveyed by 'topic gestures' in Bavelas et al. (1992).

In daily interaction, conversation proceeds as people bring up different topics. Once a topic is established, the participants talk about it with orientations, descriptions, and elaborations. In Example (10), the subject for talk is wearing long hair in high school. After the topic has been brought up by the question in IU1 and 2, what Speaker A informs the other participants is that not until senior high could students at her school wear long hair (IU4). The topical referent *toufa* 'hair' is not verbalized but rather gestured: the speaker's left hand touches her own long hair on her right shoulder at the time she utters *gaozhong* 'senior high'.

```
(10) 1
         B: ....Nimen guozhong
                                  toufa,
                      junior high hair
               2PL
     2
             ..keyi liu
                          name chang ma?/
                                        QST
              can
                   wear such
                                 long
     3
        C: ...Keyi.\
               can
         ((2 IUs omitted))
         A: ..Women dao
                            gaozhong./
              1PL
                            senior high
```

gaozhong 'senior high': left hand touches own hair on right shoulder

```
B: 'Could you wear such long hair in junior high?'C: '(We) could.'((2 IUs omitted))A: 'We (could do that only when we went to) senior high.'
```

In Example (11), Speaker C brings up the topic of hitting cockroaches in the first clause (IU1-4). This topical idea is manually performed as Speaker C's right hand with closed fingers at chest level sweeps downward to signify the hitting action while uttering the nominal *zhanglang* 'cockroach' in IU3. Then, she elaborates a lot on this topic, sharing her experiences of using different things to hit cockroaches, consisting of *tuoxie* 'slippers' (IU5), *yi ge hen zhong de shu* 'a heavy book' (IU7), *baozhi* 'a newspaper' (IU9), and *bijiao chang de dongxi* 'things that are comparatively long' (IU11). While mentioning the final object in IU11, it is the idea of holding a long thing that the speaker is uttering. The topic of hitting the cockroach is rather conveyed manually: at the moment the adjective *chang* 'long' is produced, C's right hand descends from the face to the shoulder with the fingers curled, sweeping downward to depict the hitting action.

```
(11)
         C: (0)^Wo
                       yiqian
                               ^gan,\
                 1SG
                       before dare
      2
              ...jiushi nage%,
                that is that
      3
              ..zhanglang s- --
               cockroach
                          REPAIR
      4
              ...ba
                     ta
                           ^dasi,\
                BA 3SG hit to death
      5
              ..Na
                    tuoxie dasi,\
               take slipper hit to death
          ((25 IUs omitted))
                              diu
      6
              ...Buran jiu
                                          ge%,
                                     vi
                or else then throw
                                     one CL
      7
              ...hen
                    zhong de
                                     shu.\
                very heavy ASSC
                                     book
          ((5 IUs omitted))
      8
              ...Yaoburan jiu%,\
                or else
                           then
      9
              ...zhao baozhi
                                  a,
                find
                      newspaper PRT
          ((28 IUs omitted))
      10
              ...Wo
                     dou hui na%,
                1SG all
                           will take
```

```
11 ...bijiao ^chang de dongxi,_
comparatively long DE thing
```

chang 'long': right hand descends from face to shoulder with fingers curled, and sweep downward

- 12 ...Wo juede zheyang,/
 1SG think like this
 13 ...bijiao%.. ^yuan,\
 comparatively far
 14 ...Jiu bu hui,\
 thus NEG will
 15 ...^kan-dao,\
 see:ACMPL
 - C: 'I used to dare to hit cockroaches before. (I) used slippers to hit (them) to death. (25 IUs omitted) Or else (I would) throw a heavy book. (5 IUs omitted) Or else (I would) find a newspaper. (28 IUs omitted) I would take something longer. By doing this, I think, (I would be) farther away (from the cockroach). (I) thus would not see (them).'

McNeill & Levy (1993:364) claim that "linguistic elements that are continuous with their context are at times accompanied by gesture... [to] maintain continuity with respect to their location in space, handedness, and/or form." The topical ideas mentioned in this section, namely 'wearing long hair' in Example (10) and 'hitting cockroaches' in Example (11), though not being expressed in words, are gestured to maintain their continuity, reminding the participants of the subject matter under discussion while talking about another, though related, theme.

This section has discussed three types of information carried by complementary gestures that neither correspond to any overt lexical constituents, nor substitute for covert constituents. Yet, their meanings and functions are fully understood in context. Their occurrences provide evidence that not everything that a person intends to communicate has to be expressed in speech. As far as the present corpus is concerned, the gestural channel alone can express attitudinal, script-evoked, and topical information that functions to provide additional meaning for an entire speech event or to maintain the continuity of a topic at issue. Based on these findings, the next section will discuss what complementary gestures can tell us about the organization of information in communication.

4. Organization of information in discourse

Why does a speaker produce complementary gestures? This can be accounted for

with respect to how the speaker organizes information. In daily face-to-face conversation, the most common type of linguistic activity is to 'communicate information', talking about events concerning time, place, participants, and states-of-affairs. The speaker has to make a choice and provide the information relevant to the discussion among the participants. Information is also organized into various types and conveyed either in words or by gesturing. These two channels of communication form three ways through which different kinds of information can be expressed. The first way to convey information is via the linguistic channel exclusively, not using gesture as part of the utterance.

The second way is using gesture as a way of expressing something similar to what is expressed in the associated speech. Many previous studies have provided evidence that the use of gesture can tell the way speakers organize information, since manual movements can mark different units of talk in discourse. Based on storytelling data, McNeill (1992:93) finds that "iconics occur overwhelmingly in narrative clauses, while beats can occur in both narrative and extranarrative clauses ... abstract pointing occurs chiefly with narrative clauses, whereas metaphorics appear chiefly with extranarrative clauses." Bavelas et al. (1992:473) distinguish 'topic gestures' and 'interactive gestures' in conversation to characterize, respectively, the information directly related to the topic of discourse, and the information referring "to some aspect of the process of conversing with another person." They further suggest that interactive gestures may function to cite the other's previous contribution, to seek agreement, understanding, or help, to deliver new versus shared information, and to present events around the speaker turn. With respect to 'communicative dynamism', Levy & McNeill (1992) and McNeill & Levy (1993) show that the quantity and complexity of gestures would increase along with complex linguistic expressions as the information conveyed by the utterances functions to push the communication forward. Concerning given and new information, McNeill & Levy (1993:365) suggest that "gestures tend to occur at points of topic shift, such as new narrative episodes or new conversational themes ... highly presupposed linguistic elements would either lack gestures entirely, or would be accompanied by gestures that are specialized for their cohesive function or form." Finally, Kendon (1995:247), based on Southern Italian conversation, differentiated 'substantive gesturing' from 'pragmatic gesturing', in that "[the former] contributes to various aspects of the content of the utterance of which it is a part, whether literally or metaphorically... [whereas the latter] expresses aspects of utterance structure." He further identified two 'discourse unit marker gestures' that mark 'topic' and 'focality' of what is being said respectively. In Chinese discourse, Chui (2005) finds the distinction between foreground and background information in the use of iconic gestures. First, speakers incline to gesture for new information in topical clauses. The temporal patterning of iconic gestures and the associated new referents serve to further distinguish topical and non-topical information, in that either onsets or strokes would come before topical referents to signal that the upcoming new information is noteworthy and deserves attention. In short, people use manual actions in different ways to distinguish various aspects of information conveyed by the utterances.

The third way to express what the speaker has chosen to talk about is including gesture in the utterance but expressing something in gesture that is not expressed in the speech. Section 3 has already discussed three types of information depicted by complementary gestures. The reason why they are gestured without concomitant speech lies in whether the information is being organized and presented as the 'focus of interest'. The linguistic contexts provide evidence that the attitudinal, script-evoked, and topicmaintaining information depicted by complementary gestures is not the focus of interest, because it is not the immediate concern of the participants at the time the gesture is produced. What the speakers are focusing on is what they are uttering. In Examples (6) and (7), the common interests of the participants are different brands of shampoo and the habits of foreigners respectively, so Speaker B's attitude toward a particular brand and the two Chinese's attitude toward foreigners are subsidiary and conveyed by gesturing. Similarly, the gestured script-evoked information is also not the center of interest: in Example (8) the speakers focus on the different kinds of food utensils to be used at a departmental gathering, rather than on how to use the utensils as gestured. In Example (9), the focus of interest is whether Speaker B can find her friend's article in the Cheng Kung University journal. The gestural information indicating opening the journal to check is irrelevant to the talk. Finally, once a topic has been set up, speakers would talk about it with a new focus. In Example (10), the center of attention in speaker B's utterance is 'the time', rather than the topic 'wearing long hair'; the center of attention in Speaker C's turn in Example (11) is 'the things', instead of the topic 'hitting cockroaches'. Not being organized and presented as the focus of interest, the topical information can be expressed by complementary gestures.

5. Implications

While information being conveyed in communication can be organized and presented in various ways, the findings of the present study bear out a distinct way of organizing the speaker's ideas into different units of talk with respect to 'focus of interest'. Whether the information is the focus of interest or not is negotiated and determined by the participants in the linguistic interaction; the utterances indicate what the immediate common interest of the participants is. Two channels of communication have been shown to work together and show a 'division of labor' in conveying these

two aspects of information. Complementary gestures further contribute to adding more information to enrich a speech event and maintaining the continuity of a topic. In the future, I hope that data of various kinds are available to attest to the findings reported here.

Finally, speech and gesture are now widely accepted as different manifestations of a single underlying process of utterance production. Nevertheless, there are different views toward the cognitive unity of speech and gesture. Kendon (1983:19) holds that speech and gesture are separately generated; both may represent "different aspects of the single conceptual unit by which they are being guided." Butterworth & Hadar (1989) claim that gestures are also very closely linked to speech production, but that both share more than one computational stage. De Ruiter (2000) proposes the Sketch Model for gesturing, assuming that gesture and speech are part of the same communicative intention, and are planned by the same process. He extends Levelt's (1989) model of speech production to incorporate the processing of gesture. McNeill & Duncan (2000) claim that the same idea unit from which both gesture and speech originate from is a growth point which combines both imagery and linguistic content. Contrary to McNeill and Duncan, Kita (2000) regards gesture and speech as conceptually parallel but basically separate streams of cognition. Speech is concerned with analytic thinking; gesture, spatio-motoric thinking. In short, these various cognitive models of gesture-speech integration are mainly concerned with whether gesture and speech constitute single idea units, or whether they are separate yet closely related units. However, formulating a computational model of gesture-utterance performance that simulates the way Chinese speakers use complementary gestures along with speech in face-to-face communication also requires the linguistic details. It is also my hope that the linguistic details provided in the present study can contribute to the modeling of complementary gestures and speaking in Chinese discourse.

Appendix A: Abbreviations of linguistic terms

1PL first person plural first person singular 1SG second person plural 2PL 2SG second person singular third person plural 3PL 3SG third person singular **ACMPL** accomplishment aspect **ASSC** associative morpheme BAthe morpheme BA backchannel BCclassifier CL

COMPARE compare morpheme
COMPL complementizer
COP copula verb

DLM delimitative aspect
EMP emphatic adverbial
EXP experiential aspect
NEG negative morpheme

PF pause filler **POSS** possessive perfective aspect **PRF PROG** progressive aspect PRT discourse particle **QST** question particle repair phoneme(s) **REPAIR SELF** reflexive morpheme

Appendix B: Transcription conventions

An 'Intonation Unit' (IU) is defined as a stretch of speech uttered under a single coherent intonation contour, which tends to be marked by a pause, a change of pitch, and a lengthening of the final syllable (Du Bois et al. 1993).

Units	
{carriage return}	intonation unit
	truncated intonation unit
{space}	word
-	truncated word
Speakers	
· :	speaker identity/turn start
[]	speech overlap
Transitional continuity	•
	final
,	continuing
?	appeal
Terminal pitch direction	11
\	fall
/	rise
	level
Accent and lengthening	
^	primary accent
=	lengthening
Pause	0 0
(N)	long
	medium
	short
(0)	latching
<u>Vocal noises</u>	C
(H)	inhalation
%	glottal stop
(a),	laughter
Quality	· ·
	laugh quality
	allegro: rapid speech
<p p=""></p>	piano: soft
<dim dim=""></dim>	diminuendo: gradually softer
	Ç ,

References

- Bavelas, Janet Beavin, Nicole Chovil, Douglas A. Lawrie, and Allan Wade. 1992. Interactive gestures. *Discourse Processes* 15.4:469-489.
- Bavelas, Janet Beavin, Nicole Chovil, Linda Coates, and Lori Roe. 1995. Gestures specialized for dialogue. *Personality and Social Psychology Bulletin* 21.4:394-405.
- Butterworth, Brian, and Uri Hadar. 1989. Gesture, speech, and computational stages: a reply to McNeill. *Psychological Review* 96.1:168-174.
- Chui, Kawai. 2005. Topicality and gesture in Chinese conversational discourse. *Language and Linguistics* 6.4:635-654.
- Church, R. Breckinridge, and Susan Goldin-Meadow. 1986. The mismatch between gesture and speech as an index of transitional knowledge. *Cognition* 23.1:43-71.
- De Ruiter, Jan Peter. 2000. The production of gesture and speech. *Language and Gesture*, ed. by David McNeill, 284-311. Cambridge & New York: Cambridge University Press.
- Du Bois, John W., Stephan Schuetze-Coburn, Susanna Cumming, and Danae Paolino.
 1993. Outline of discourse transcription. *Talking Data: Transcription and Coding in Discourse Research*, ed. by Jane A. Edwards & Martin D. Lampert, 45-89.
 Hillsdale: Lawrence Erlbaum Associates.
- Ekman, Paul, and Wallace Friesen. 1969. The repertoire of nonverbal behavior: categories, origins, usage, and coding. *Semiotica* 1:49-98.
- Goldin-Meadow, Susan. 1999. The role of gesture in communication and thinking. *Trends in Cognitive Sciences* 3.11:419-429.
- Kendon, Adam. 1983. Gesture and speech: how they interact. *Nonverbal Interaction*, ed. by John Wiemann & Randall Harrison, 13-45. Beverly Hills: Sage.
- Kendon, Adam. 1995. Gestures as illocutionary and discourse structure markers in Southern Italian conversation. *Journal of Pragmatics* 23.3:247-279.
- Kita, Sotaro. 2000. How representational gestures help speaking. *Language and Gesture*, ed. by David McNeill, 162-185. Cambridge & New York: Cambridge University Press.
- Levelt, Willem J. M. 1989. *Speaking: From Intention to Articulation*. Cambridge: MIT Press.
- Levy, Elena T., and David McNeill. 1992. Speech, gesture, and discourse. *Discourse Processes* 15.3:277-301.
- McNeill, David. 1992. *Hand and Mind: What Gestures Reveal about Thought*. Chicago: University of Chicago Press.
- McNeill, David, and Elena T. Levy. 1993. Cohesion and gesture. *Discourse Processes* 16.4:363-386.

McNeill, David, and Susan D. Duncan. 2000. Growth points in thinking-for-speaking. *Language and Gesture*, ed. by David McNeill, 141-161. Cambridge & New York: Cambridge University Press.

Schank, Roger C., and Robert P. Abelson. 1977. Scripts, Plans, Goals, and Understanding: An Inquiry into Human Knowledge Structures. Hillsdale: Lawrence Erlbaum Associates.

[Received 30 July 2007; revised 7 December 2007; accepted 10 December 2007]

Department of English National Chengchi University 64, Sec.2, Chih-nan Road Taipei 116, Taiwan kawai@nccu.edu.tw

補足手勢與訊息類型

徐嘉慧 國立政治大學

在中文會話中所使用的「補足手勢」跟說話內容沒有直接的語法和語意關係,但這類手勢也傳達訊息。本研究主要探討補足手勢傳達什麼類型的訊息、補足手勢的語用功能,以及訊息結構。研究發現補足手勢可以傳達沒有說出的訊息包括:對談論事件的態度、談論事件所引發的相關訊息,和表達主題。根據參與者正在交談的內容,雖然這些訊息都不是當下的談話焦點,補足手勢卻能提供更多的意義,豐富事件談論的內容,也能維持主題的連續性。

關鍵詞:補足手勢,訊息類型,談話焦點,中文言談篇章